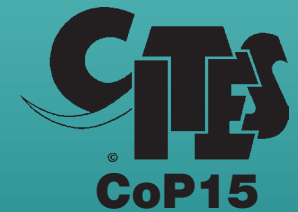


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# THE SUSTAINABLE USE GUIDE



Doha (Qatar), 13-25 March 2010

TO THE SPECIES PROPOSALS AND WORKING DOCUMENTS



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**CITES CoP15, Doha, Qatar, March 13-25 2010**

**THE SUSTAINABLE USE GUIDE  
TO THE SPECIES PROPOSALS AND WORKING DOCUMENTS**

This quick reference guide has been prepared by Safari Club International and the Safari Club International Foundation as a service to delegates to CoP15, to provide the sustainable use view on the issues before the Parties. We thank Species Management Specialists (SMS) for their very substantial contribution to this guide, particularly in relation to non-game animals and plants.

Safari Club International protects the freedom to hunt and promotes wildlife conservation worldwide. The Safari Club International Foundation funds and manages worldwide programs dedicated to wildlife conservation, outdoor education and humanitarian services, and in the last 10 years has provided over \$50 million to programs around the world.

Species Management Specialists (SMS) is a non-profit international association of which we are members, and which is dedicated to promoting the sustainable use of the world's natural resources. It consists of a diverse group of representatives from the wildlife, fisheries, forestry and agricultural industries, indigenous peoples' organisations, responsible organisations and individuals from around the world.

**Key: CoP = Conference of the Parties; SC = Standing Committee; AC = Animals Committee; PC = Plants Committee; RC = Resolution Conf.; D = Decision; WG = Working Group**

## SPECIES PROPOSALS

| PROPOSAL                                   | SUMMARY  | RECOMMENDATION  |
|--|--|---|
| <b>1. GREY WOLF</b> ( <i>Canis lupus</i> ) | <p><b>Proponent: Switzerland (on behalf of the AC)</b></p> <p>Amend the listings in Appendix I and II by adding “excludes the domesticated form and the dingo which are referenced as <i>canis lupus familiaris</i> and <i>canis lupus dingo</i>”.</p> | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Neither the domestic dog nor the dingo was intended to be included in the Appendices.</li> </ul>   |
| <b>2. BOBCAT</b> ( <i>lynx rufus</i> )     | <p><b>Proponent: USA</b></p> <p>Remove from Appendix II.</p>   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• No longer meets listing criteria.</li> <li>• Populations on the whole increasing or stable.</li> <li>• “Lookalike” concerns misplaced as UNEP-WCMC survey found no incidence of <i>l.lynx</i> and <i>l.pardinus</i> illegally traded as <i>l.rufus</i>.</li> <li>• Web-based identification manual now available.</li> </ul> |

| PROPOSAL   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
| <p><b>3. POLAR BEAR</b> (<i>ursus maritimus</i>)</p>                       | <p><b>Proponent: USA</b></p> <p>Transfer from Appendix II to Appendix I.</p>  | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• The species is well-managed and the take for trade is sustainable.</li> <li>• the uplisting is based on projections suggesting extinction due to habitat loss (melting sea ice) which have been found unconvincing.</li> <li>• TRAFFIC and the Secretariat recommend rejection.</li> <li>• Other Range States do not support the proposal.</li> </ul>   |
| <p><b>4. (Rev 1). AFRICAN ELEPHANT</b><br/>(<i>Loxodonta Africana</i>)</p> | <p><b>Proponent: Tanzania</b></p> <p>Transfer of Tanzania population from Appendix I to Appendix II to permit trade in hunting trophies for non-commercial purposes, trade in hides and live animals, and for a one-off stockpile sale of ivory to approved trading partners.</p> | <p><b>SUPPORT (subject to panel of experts' report)</b></p> <ul style="list-style-type: none"> <li>• The criteria for an Appendix I listing are no longer met. The population has trebled since 1989 and now exceeds the preferred upper limit in the Revised Elephant Management Plan of 2001.</li> <li>• The requested terms are similar to the stockpile sales agreed at CoP14 for Namibia, South Africa, Botswana and Zimbabwe, particularly, trading partners must be approved by the SC (only Japan and China to date) and proceeds will be used for elephant conservation, community conservation and development programmes.</li> <li>• Stockpiled ivory is costly to store and deteriorates over time.</li> <li>• The proposal is supported by the Secretariat and by TRAFFIC, which considers there is no evidence to suggest stockpile sales stimulate poaching, which is ever present to some degree.</li> <li>• The moratorium on sales agreed at CoP14 clearly relates only to</li> </ul> |

| PROPOSAL   | SUMMARY   | RECOMMENDATION   |
|--|---|--|
|  |   | <p>Namibia, South Africa, Botswana and Zimbabwe, and it is not credible to suggest that having agreed clear wording to that effect a wider moratorium was intended. In any case, a listing or annotation adopted at one CoP can be changed at a subsequent CoP (Article XV).</p>   |
| <p><b>5. (Rev 1). AFRICAN ELEPHANT</b><br/><i>(Loxodonta Africana)</i></p> | <p><b>Proponent: Zambia</b></p> <p>Transfer of Zambia population from Appendix I to Appendix II to permit trade in hunting trophies for non-commercial purposes, trade in hides and live animals, and for a one-off stockpile sale of ivory to approved trading partners.</p> | <p><b>SUPPORT (subject to panel of experts' report)</b></p> <ul style="list-style-type: none"> <li>• The criteria for an Appendix I listing are no longer met. A 2008 survey found the population to be stable.</li> <li>• The requested terms are similar to the stockpile sales agreed at CoP14 for Namibia, South Africa, Botswana and Zimbabwe, particularly, trading partners must be approved by the SC (only Japan and China to date) and proceeds will be used for elephant conservation, community conservation and development programmes.</li> <li>• Stockpiled ivory is costly to store and deteriorates over time</li> <li>• the MIKE report (Doc 44.2) indicates there is no evidence to suggest stockpile sales stimulate poaching, which is ever present to some degree. Unregulated domestic markets are the most significant stimulus.</li> <li>• The moratorium on sales agreed at CoP14 clearly relates only to Namibia, South Africa, Botswana and Zimbabwe, and it is not credible to suggest that having agreed clear wording to that effect a wider moratorium was intended. In any case, a listing or annotation adopted at one CoP can be changed at a subsequent CoP (Article XV).</li> </ul> |

| PROPOSAL   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
| <p><b>6. AFRICAN ELEPHANT</b><br/><i>(Loxodonta Africana)</i></p>      | <p><b>Proponents: Congo, Ghana, Kenya, Liberia, Mali, Rwanda and Sierra Leone</b></p> <p>Amend the annotation to the elephant listings in Appendix I and II to impose a 20 year moratorium on trade in Appendix II populations, and on proposals to downlist populations to Appendix II;<br/>Also, removal of the annotation allowing non-commercial trade in ekipas for Namibia and ivory carvings for Zimbabwe.</p> | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• the MIKE report (Doc 34.2) indicates there is no evidence to suggest stockpile sales stimulate poaching, which is ever present to some degree. Unregulated domestic markets are the most significant stimulus.</li> <li>• The moratorium on sales agreed at CoP14 clearly relates only to Namibia, South Africa, Botswana and Zimbabwe, and it is not credible to suggest that having agreed clear wording to that effect a wider moratorium was intended.</li> <li>• In any case, a listing or annotation adopted at one CoP may be changed at any subsequent CoP (Article XV).</li> </ul> |
| <p><b>7. MARIANA MALLARD</b> (<i>Anas oustaleti</i>)</p>               | <p><b>Proponent: Switzerland (on behalf of the AC)</b></p> <p>Delete from Appendix I.</p>   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Does not meet the criteria for Appendix I, and is possibly extinct.</li> </ul>   |
| <p><b>8. MORELET'S CROCODILE</b><br/><i>(Crododylus moreletii)</i></p> | <p><b>Proponent: Mexico</b></p> <p>Transfer from Appendix I to Appendix II with zero quota for wild specimens.</p>  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• No longer meets the criteria for Appendix I.</li> <li>• Zero quota is a precautionary measure.</li> <li>• Range State Guatemala supports (views of third Range State Belize, not known).</li> </ul>  |

| PROPOSAL   | SUMMARY   | RECOMMENDATION   |
|--|---|--|
| <b>9. NILE CROCODILE</b> ( <i>Crocodylus niloticus</i> )   | <b>Proponent: Egypt</b><br>Transfer Egyptian population from Appendix I to Appendix II. | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• The proposal is to support a management programme based on ranching (the collection of hatchlings) to increase the wild population.</li> <li>• There should be a zero quota until such time as the management programme is implemented and reviewed by experts.</li> </ul> |
| <b>10. ORNATE SPINY-TAILED DRAGON</b> ( <i>Uromastyx ornata</i> )  | <b>Proponent: Israel</b><br>Transfer from Appendix II to Appendix I.                    | <b>REJECT (unless supported by Range States)</b> <ul style="list-style-type: none"> <li>• The data presented does not sufficiently substantiate the suggested threats.</li> <li>• There is no support from other Range States (Egypt, Saudi Arabia and Yemen).</li> </ul>  |
| <b>11. UTILA IS. IGUANA</b> ( <i>Ctenosaura bakeri</i> ); <b>ROATÁN SPINY-TAILED IGUANA</b> ( <i>Ctenosaura oedirhina</i> ); <b>RIO AGUAN VALLEY IGUANA</b> ( <i>Ctenosaura melanosterna</i> ) | <b>Proponent: Honduras</b><br>Include in Appendix II.                                   | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Does not meet the criteria for listing.</li> <li>• Proponent should consider an Appendix III listing instead to obtain international co-operation from importing countries.</li> </ul>  |
| <b>12. GUATEMALAN SPINY-TAILED LIZARD</b> ( <i>Ctenosaura palearis</i> )   | <b>Proponent: Guatemala</b><br>Include in Appendix II.                                  | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Does not meet the criteria for listing.</li> <li>• Proponent should consider an Appendix III listing instead to obtain</li> </ul>   |

| PROPOSAL   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
|  |   | international co-operation from importing countries.  |
| <b>13. LEAF-FROGS (<i>Agalychnis spp.</i>)</b>   | <b>Proponent: Honduras, Mexico</b><br><br>Include in Appendix II.   | <b>REJECT</b> <ul style="list-style-type: none"> <li>• All Range States appear to have adequate legislation to control exports.</li> <li>• International trade in wild specimens is not substantial.</li> </ul>   |
| <b>14. KAISER'S SPOTTED NEWT</b><br><i>(Neurergus kaiseri)</i>   | <b>Proponent: Iran</b><br><br>Include in Appendix I.  | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• Criteria for Appendix I listing satisfied (classified by the IUCN as critically endangered, with an estimated total population of less than 1000).</li> </ul>   |
| <b>15. SCALLOPED HAMMERHEAD SHARK</b><br><i>(Sphyrna lewini)</i><br><b>GREAT HAMMERHEAD SHARK</b><br><i>(Sphyrna mokarran)</i><br><b>SMOOTH HAMMERHEAD SHARK</b><br><i>(Sphyrna zygaena)</i><br><b>DUSKY SHARK</b><br><i>(Carcharhinus plumbeus)</i><br><b>SANDBAR SHARK</b><br><i>(Carcharhinus obscurus)</i> | <b>Proponents: Palau and the USA</b><br><br>Include in Appendix II subject to an 18 month delay "to enable Parties to resolve the related technical and administrative issues". | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Data do not support singling out Hammerhead sharks for special treatment.</li> <li>• FAO Panel of Experts concluded that there was a relevant decline in <i>S. lewini</i> , but management measures introduced by the US National Marine Fisheries Service including reduced harvest quotas are likely to be more effective than a listing. Data indicate the Northwest Atlantic Ocean population is now increasing.</li> <li>• The proposed 18 month delay is an acknowledgement of the considerable complexities of administering a listing in this context. These complexities will not have been resolved within this time frame, and consequently, listing would risk fuelling illegal trade and erroneous</li> </ul> |

| PROPOSAL  | SUMMARY  | RECOMMENDATION   |
|---|--|--|
|   |  | reporting.   |
| <b>16. OCEANIC WHITETIP SHARK</b><br><i>(Carcharhinus longimanus)</i> | <b>Proponent: Palau and the USA</b><br><br>Include in Appendix II subject to an 18 month delay “to enable Parties to resolve the related technical and administrative issues”.   | <b>REJECT</b><br><br><ul style="list-style-type: none"> <li>• FAO Panel of Experts concluded that there may be a relevant decline, but noted the paucity of quantitative data.</li> <li>• The proposal suggests that a listing should be made where there is insufficient population data to support it but there are indications that over-exploitation is or may be occurring. Given the possible negative impact of a listing, this approach is inadvisable.</li> <li>• The proposed 18 month delay is an acknowledgement of the considerable complexities of administering a listing in this context. These complexities will not have been resolved within this time frame, and consequently, listing would risk fuelling illegal trade and erroneous reporting.</li> </ul> |
| <b>17. PORBEAGLE SHARK</b> ( <i>Lamna nasus</i> )                     | <b>Proponents: Palau and Sweden</b><br><br>Include in Appendix II subject to an 18 month delay “to enable Parties to resolve the related technical and administrative issues, such as the possible designation of an additional Management Authority and adoption of Customs codes”. | <b>REJECT</b><br><br><ul style="list-style-type: none"> <li>• A similar proposal failed to gain support at CoP14.</li> <li>• the North Atlantic, Southwest Atlantic and Mediterranean Sea stocks, where substantial overfishing has occurred, are nominated for listing on the basis of a relevant decline. Southern Hemisphere stocks are nominated with few data on the basis that a similar decline will occur in the future, and all others are nominated on a “lookalike” basis.</li> <li>• The FAO panel of experts acknowledged that although a large proportion of the global historical population met the decline criteria for</li> </ul>  |

| <b>PROPOSAL</b>                                    | <b>SUMMARY</b>   | <b>RECOMMENDATION</b>   |
|--|--|---|
|  |  | <p>an Appendix II listing, the Southern Hemisphere populations (excluding the South West Atlantic) did not.</p> <ul style="list-style-type: none"> <li>• Most of the unsustainable fishing takes place within the EU. It is therefore considered domestic trade and would be unaffected by an Appendix II listing.</li> <li>• The proposed 18 month delay is an acknowledgement of the considerable complexities of administering a listing in this context. These complexities will not have been resolved within this time frame.</li> </ul>  |
| <p><b>18. SPINY DOGFISH (Squalus acantias)</b></p> | <p><b>Proponents: Palau and Sweden</b></p> <p>Include in Appendix II subject to an 18 month delay “to enable Parties to resolve the related technical and administrative issues, such as the development of stock assessments and collaborative management agreements for shared stocks and the possible designation of an additional Scientific or Management Authority”.</p> | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• The Northern Hemisphere stocks (except the Northeast Pacific), are nominated for listing on the basis of a relevant decline. All other stocks are nominated on the basis of a potential decline due to increased fishing once the EU Fishery is closed. All others are nominated on a “lookalike” basis.</li> <li>• The FAO Panel of Experts concluded that most populations do not support the decline criteria for listing.</li> <li>• A recovery trend was observed following collaborative management measures between the USA and Canada. In 2007, all targeted fishing for spiny dogfish in EU waters was prohibited, and in 2009 the North East Atlantic Fisheries Commission closed high seas spiny dogfish fisheries within its area.</li> </ul> |

| PROPOSAL   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
| <p><b>19. ATLANTIC BLUEFIN TUNA</b><br/><i>(Thunnus thynnus)</i></p> | <p><b>Proponent: Monaco</b></p> <p>Include in Appendix I.</p>   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• The FAO Panel of Experts agreed that the Appendix I decline criteria were met but not the small population size or restricted area of distribution criteria.</li> <li>• An Appendix I listing is likely to constrain management regimes needed to promote stock recovery and avoid excessive harvest.</li> <li>• It is likely to result in increased unreported harvest and illegal take, and will simply result in parties sourcing stock for their domestic markets from their exclusive economic zones.</li> <li>• An Appendix II listing may be more appropriate than Appendix I, as it would defer to the current management body, ICCAT (The International Commission for the Conservation of Atlantic Tuna) and so benefit from its continued research and management. In the event of any further problems, the Significant Trade Process of RC 12.8 (Rev. CoP13) could be used.</li> </ul> |
| <p><b>20. SATANAS BEETLE</b> (<i>Dynastes satanas</i>)</p>           | <p><b>Proponent: Bolivia</b></p> <p>Include in Appendix II.</p> | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• The information provided does not justify listing on Appendix II.</li> <li>• Bolivia should be encouraged to pursue studies designed to promote the sustainable use of the species by and for the benefit of rural communities and to consider an Appendix III listing.</li> </ul>  |

| PROPOSAL   | SUMMARY   | RECOMMENDATION   |
|--|---|--|
| <p><b>21. RED AND PINK CORALS</b><br/>(<i>Corallium spp. and Paracorallium spp.</i>)</p> | <p><b>Proponent: USA</b></p> <p>Include all species in the family in Appendix II with the following annotation:</p> <p>“The entry into effect of the inclusion of species in the family Coralliidae in Appendix II of CITES will be delayed by 18 months to enable Parties to resolve the related technical and administrative issues”.</p> | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not meet the decline criteria for listing on Appendix II.</li> <li>• Listing would create significant implementation difficulties e.g. the requirement for certificates of introduction from the sea for harvests from non-jurisdictional waters.</li> <li>• Given there are substantial stockpiles of precious corals that would be traded using pre-Convention certificates, it is difficult to see how a listing would be of benefit.</li> </ul> |
| <p><b>22. JABILY</b> (<i>Operculicarya decaryi</i>)</p>                                  | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>  | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul>   |
| <p><b>23. SAKOANKOMOKEPHORA</b><br/>(<i>Operculicarya hyphaenoides</i>)</p>              | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>  | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> </ul>   |

| PROPOSAL   | SUMMARY  | RECOMMENDATION   |
|--|--|--|
|  |  | <ul style="list-style-type: none"> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul>   |
| <p><b>24. TABILY (<i>Operculicarya pachypus</i>)</b></p>           | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |
| <p><b>25. CACTACEAE SPP. AND ALL TAXA WITH ANNOTATION No.1</b></p> | <p><b>Proponent: Mexico and USA (on behalf of the PC)</b></p> <p>To delete annotations Nos. 1 and 4 and replace with a new annotation on parts and derivatives for plant taxa listed in Appendix II.</p> | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• This is a recommendation of the PC pursuant to D 14.130, which directed them to analyse annotations concerning the forms of plants (e.g. seeds, cut flowers, fruits) that should be included or excluded from CITES and report to CoP15.</li> </ul>   |
| <p><b>26. TOBORY (<i>Zygosicyos pubescens</i>)</b></p>             | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |

| PROPOSAL   | SUMMARY  | RECOMMENDATION   |
|--|--|--|
| <p><b>27. BETOBOKY</b> (<i>Zygosicyos tripartitus</i>)</p>     | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |
| <p><b>28. CLIFF SPURGE</b> (<i>Euphorbia misera</i>)</p>       | <p><b>Proponent: Mexico and the USA</b></p> <p>Delete from Appendix II.</p>  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Given there is no international trade, this species no longer qualifies for inclusion in Appendix II.</li> <li>• It is readily distinguishable from other Appendix-listed <i>Euphorbia</i> spp. and therefore does not qualify for listing on a “look-alike” basis.</li> </ul>  |
| <p><b>29. BRAZILIAN ROSEWOOD</b> (<i>Aniba rosaeodora</i>)</p> | <p><b>Proponent: Brazil</b></p> <p>Include in Appendix II with the following annotation:</p> <p>“#11 designates logs, sawn wood, veneer sheets and essential oil”.</p> | <p><b>SUPPORT (subject to the view of Range States)</b></p> <ul style="list-style-type: none"> <li>• As essential oil is the only product exported, it would lessen the regulatory burden if the annotation were restricted to essential oil.</li> </ul>   |

| PROPOSAL  | SUMMARY  | RECOMMENDATION  |
|---|--|---|
| <p><b>30. TARABY</b> (<i>Senna meridionalis</i>)</p>                | <p><b>Proponent: Madagascar</b></p> <p>Include in Appendix II.</p>   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> </ul>  |
| <p><b>31. ORCHIDS</b> (<i>Orchidaceae Spp.</i>)</p>                 | <p><b>Proponent: USA</b></p> <p>Amend the annotation to the listing <i>Orchidaceae</i> spp. included in Appendix I as follows:</p> <p>“For all of the following Appendix-I species, seedling or tissue cultures obtained <i>in vitro</i>, in solid or liquid media, <u>and</u> transported in sterile containers are not subject to the provisions of the Convention <u>only if the specimens meet the definition of “artificially propagated”</u> agreed by the Conference of the Parties”.</p> | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• The new definition, supported by the PC, clarifies that the exemption only applies if the specimens meet the strict definition of “artificially propagated”. This will prevent Appendix I orchids entering trade as flasks seedlings derived from parental stock that has been acquired illegally and exported from Range States.</li> </ul> |
| <p><b>32. MAROALA</b> (<i>Beccariophoenix madagascariensis</i>)</p> | <p><b>Proponent: Madagascar</b></p> <p>Include seeds in Appendix II.</p>   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Appendix II does not generally apply to the seeds of listed species (Annotation #1).</li> <li>• This species is already included on Appendix II.</li> </ul>  |

| PROPOSAL   | SUMMARY   | RECOMMENDATION   |
|--|---|--|
| <p>33. TRIANGLE PALM (<i>Dypsis decaryi</i>)</p>     | <p><b>Proponent: Madagascar</b><br/>Include seeds in Appendix II.</p> | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Appendix II does not generally apply to the seeds of listed species (Annotation #1).</li> <li>• This species is already included on Appendix II.</li> </ul>   |
| <p>34. LOKORANGA (<i>Adenia firingalavensis</i>)</p> | <p><b>Proponent: Madagascar</b><br/>Include in Appendix II.</p>       | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |
| <p>35. VAHISASETY (<i>Adenia olaboensis</i>)</p>     | <p><b>Proponent: Madagascar</b><br/>Include in Appendix II.</p>       | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |

| PROPOSAL   | SUMMARY  | RECOMMENDATION  |
|--|--|---|
| <b>36. KATAKATA</b> ( <i>Adenia subsessifolia</i> )        | <b>Proponent: Madagascar</b><br>Include in Appendix II.    | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> <li>• Consideration should be given to whether <i>ex situ</i> artificial propagation could reduce the domestic harvest pressure on wild populations.</li> </ul> |
| <b>37. MARSH ROSE</b> ( <i>Orothamnus zeyheri</i> )        | <b>Proponent: South Africa</b><br>Delete from Appendix II. | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• There is no international trade in this species so it no longer meets the criteria for listing.</li> </ul>  |
| <b>38. SWARTLAND SUGARBUSH</b> ( <i>Protea odorata</i> )   | <b>Proponent: South Africa</b><br>Delete from Appendix II. | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• There is no international trade in this species so it no longer meets the criteria for listing.</li> </ul>  |
| <b>39. LAZAMPASIKA</b> ( <i>Cyphostemma elephantopus</i> ) | <b>Proponent: Madagascar</b><br>Include in Appendix II.    | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> </ul>   |
| <b>40. LAZA</b> ( <i>Cyphostemma laza</i> )                | <b>Proponent: Madagascar</b><br>Include in Appendix II.    | <b>REJECT</b> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> </ul>   |

| PROPOSAL  | SUMMARY  | RECOMMENDATION   |
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| <p><b>41. LAZAMBOHITRA</b><br/><i>(Cyphostemma montagnacii)</i></p> | <p><b>Proponent: Madagascar</b><br/>Include in Appendix II.</p>                | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Does not satisfy the criteria for listing in Appendix II.</li> <li>• If protected in the Range State (Madagascar) an Appendix III listing may be appropriate.</li> </ul> |
| <p><b>42. HOLY WOOD</b> (<i>Bulnesia sarmientoi</i>)</p>            | <p><b>Proponent: Argentina</b><br/>Include in Appendix II with annotation.</p> | <p><b>SUPPORT (provided principal Range States agree)</b></p> <ul style="list-style-type: none"> <li>• the principal Range States are Paraguay and Bolivia.</li> </ul>   |

## WORKING DOCUMENTS

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION   |
|---|--|--|
| <b>1. Adoption of the agenda</b>  | The provisional agenda for the meeting is proposed for adoption.   | <b>NO COMMENT</b>  |
| <b>2. Adoption of the Working Programme</b>                                     | The Working Programme divides the work between two Committees, Committee I deals with species listing proposals, and Committee II deals with matters relating to the Convention.         | <b>NO COMMENT</b>  |
| <b>3. Rules of Procedure</b>  | Rules of Procedure must be adopted for each CoP. The Secretariat has recommended seating limitations for both delegates and observers in Committee II due to the small size of the room. | <b>SUPPORT</b><br><br>• Oversight should be exercised to assure fair treatment regarding the availability of seating in Committee II for both delegates and observers. |
| <b>4. Credentials Committee</b>   | No document available at time of writing.  | <b>NO COMMENT</b>  |
| <b>5. Admission of observers</b>  | No document available at time of writing.  | <b>SUPPORT admission of all qualified observers</b>  |
| <b>6. Financing and budgeting of the Secretariat and of meetings of the CoP</b> | • Terms of Reference of the Finance and Budget Sub-committee and Financial Reports for the Years 2007 and 2008.  | <b>SUPPORT adequate Secretariat budget</b><br><br><b>REJECT issue-related lobbying</b><br><br>• Some observer groups suggest the denial of funding to activities with  |

| <b>COP15 DOCUMENT</b>                                       | <b>SUMMARY</b>  | <b>RECOMMENDATION</b>   |
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|   | <ul style="list-style-type: none"> <li>• Non-payment of contributions.</li> </ul>                                     | <p>which they disagree. Such discussions should take place under the proper agenda item, not in the budget.</p> <ul style="list-style-type: none"> <li>• Consider additional measures, including flexible schedules for the payment of contributions and exclusions from committees and voting on finance matters in the event of non-payment.</li> </ul> |
| <b>7. Committee reports and recommendations</b>             | Standing Committee, Animals Committee and Plants Committee – reports of the chairs of the SC, AC and PC.              | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• Support proposed Decision requiring amendments to the AC and PC Rules of Procedure to deal with conflicts of interest.</li> </ul>   |
| <b>8. Implementation of the Strategic Vision: 2008-2013</b> | Report on progress from the SC on the Strategic Vision and achievement of its objectives.                             | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• Support proposed revisions to D 14.37 to enable SC to follow up on reporting methods regarding indicators for the Strategic Vision.</li> <li>• Amend the indicators for Objective 3.4 (to contribute to the UN Millennium Development Goals) to include poverty alleviation.</li> </ul>           |
| <b>9. Review of the scientific committees</b>               | Consideration of whether the chairmen of the AC and PC should be independent of regional duties and responsibilities. | <b>SUPPORT</b> <ul style="list-style-type: none"> <li>• Support the proposed amendment of RC 11.1.</li> </ul>   |
| <b>10. Co-operation with other organisations</b>            | Ongoing commitment for the PC to continue to co-operate with the Convention on Biological Diversity.                  | <b>SUPPORT</b>  |

| <b>COP15 DOCUMENT</b>   | <b>SUMMARY</b>   | <b>RECOMMENDATION</b>   |
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| <b>11. Co-operation with international financial institutions</b>                                       | No document available at the time of writing.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support in principle with due regard to impact on travel budget.</li> </ul>  |
| <b>12. Harmonisation of nomenclature and taxonomy with other Multinational Environmental Agreements</b> | Harmonise nomenclature for both terrestrial and marine mammals between CITES and CMS (the Convention on the Conservation of Migratory Species of Wild Animals).  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support proposed amendments to RC 12.11 (Rev.CoP14).</li> </ul>  |
| <b>13. Co-operation between Parties and promotion of multinational measures</b>                         | CoP14 established a WG to investigate the use of stricter domestic measures (“SDMs”) and its effect on the goals of the Convention. Some external funding has now been provided and a WG has been established, so this initiative should now move forward. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed amendment to D 14.29 to continue the WG.</li> <li>• SDMs and reservations potentially undermine the objectives of CITES.</li> <li>• The WG should consider SDMs adopted by importing Parties as well as SDMs adopted by exporting Parties.</li> </ul> |
| <b>14. CITES and Livelihoods</b>  | CoP14 mandated the development of tools for assessing impacts of implementing CITES listing decisions on the livelihoods of the poor, and to draft guidelines for addressing these impacts.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support proposed Decisions to continue and finalize the development of guidelines and toolkit.</li> <li>• Addressing the livelihood concerns of the rural peoples who must tolerate wildlife and preserve its habitat is key in ensuring conservation of that</li> </ul>   |

| COP15 DOCUMENT                                    | SUMMARY   | RECOMMENDATION  |
|---|---|---|
|   |   | wildlife. Consideration of such issues is therefore properly within the remit of CITES.   |
| <b>15. National Wildlife trade policy reviews</b> | CoP14 authorised the Secretariat to develop and test a draft framework for reviewing wildlife trade policies in parallel with social science studies.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support proposed Resolution and Decision to create framework for review of trade policies.</li> <li>• There are obvious linkages between this work and the work on livelihoods (see CoP15 Doc.14) and it may be appropriate to co-ordinate and integrate the two initiatives through amendments to the draft Resolution.</li> <li>• Addressing the livelihood concerns of the rural peoples who must tolerate wildlife and preserve its habitat is key in ensuring conservation of that wildlife. Consideration of such issues is therefore properly within the remit of CITES.</li> </ul> |
| <b>16. Capacity Building</b>                      | <p><b>In Doc. 16.1</b>, Secretariat reports on its capacity-building activity and proposes maintenance of D 14.10 regarding Masters programs.</p> <p><b>Doc. 16.2.1</b> is the Secretariat report on the International Expert Workshop on Non-Detriment Findings (“NDFs”).</p> <p><b>Doc. 16.2.2</b> is the report of the Animals Committee (AC) and the Plants Committee (PC) on the same Workshop. Decisions are proposed for the continuation of the initiative by the AC and PC with a goal of a discussion paper, and possibly a Resolution on</p> | <p><b>PART SUPPORT/PART REJECT</b></p> <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support maintenance of D 14.10.</li> </ul> <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed Decisions in Doc. 16.2.2, which are the result of consideration of the non-detriment finding issue by the AC and the PC.</li> </ul>  |

| <b>COP15 DOCUMENT</b>   | <b>SUMMARY</b>   | <b>RECOMMENDATION</b>  |
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|   | <p>guidelines, for CoP16.</p> <p><b>Doc. 16.3</b> is a report of the PC on timber, medicinal plants and agarwood. It proposes a Decision to adopt the detailed guidance on making non-detriment findings found in the Annexes to the Document.</p> | <p>Discussion in substance at CoP15 is premature and these Decisions allow more time for the AC and the PC to develop an appropriate discussion paper and, possibly, draft guidelines.</p> <ul style="list-style-type: none"> <li>• The consideration of non-detriment findings requires more involvement by governments, particularly those from exporting Parties, than has been the case so far.</li> <li>• More attention needs to be paid to capacity building in the form of financial and other support for scientific field work and for development and implementation of management plans.</li> </ul> <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Reject decision proposed in Doc. 16.3 because, as Secretariat recommends, the action is premature. The Parties should consider alternate Decisions which are of greatest use to range-state Scientific Authorities.</li> </ul> |
| <p><b>17. Incentives for the implementation of the Convention</b></p> | <p>Two main mechanisms are discussed: a) certification and b) payment for ecosystem services.</p>  | <p><b>PART SUPPORT/ PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Reject the proposed Decisions for further investigation by the SC and Secretariat. Instead, propose a draft Decision for a WG to consider the concepts of a certification program and payment for ecosystem services. Terms of reference might include: <ul style="list-style-type: none"> <li>▫ establishment of an initial list of species which might benefit from a certification program;</li> <li>▫ the viability of standardizing practices to achieve CITES minimum requirements, with a special focus on traceability and on legal-acquisition findings;</li> </ul> </li> </ul>  |

| COP15 DOCUMENT                          | SUMMARY   | RECOMMENDATION  |
|---|---|---|
|   |   | <ul style="list-style-type: none"> <li>▫ exploring the synergies between the CITES permitting system and voluntary certification schemes;</li> <li>▫ the benefits of authorizing limited use of the CITES logo by registered companies to educate consumers. This may be particularly important if budgetary constraints do not permit the Secretariat to raise the profile of and increase public awareness of CITES.</li> <li>▫ if required to do so by the WG, the SC should convene a technical workshop on payment for ecosystem services.</li> </ul>  |
| <p><b>18. Review of Resolutions</b></p> | <p>The Secretariat has suggested amendments to 13 resolutions. Some are substantive, other are merely to delete obsolete material. The specific amendments are set out in Annexes 1-13 of CoP15 Doc.18.</p> | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support the Secretariat’s amendments to the 13 resolutions except: <ul style="list-style-type: none"> <li>▫ Annex 11 - the definition of “hunting trophy” in Annex 11 should be changed specifically to include a reasonable number of worked items made from an animal taken by a sport hunter during a legal sport hunt. This constitutes an important economic activity and supports the conservation value of sport hunting.</li> <li>▫ Annex 13 – reject the amendment to RC 14. 7 providing that nationally established quotas should be notified annually. Quotas should continue to be carried over if no new notification is received. To require annual renewal creates an additional administrative burden on Range States without a change in substance, and as most quotas apply to trophy hunting which has fixed legal seasons, any uncertainty or delay regarding quota may reduce the activity for that year and so reduce the conservation benefits derived from it.</li> </ul> </li> </ul> |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION  |
|---|--|---|
| <b>19. Review of Decisions</b>                                  | The Secretariat has suggested deletions of some decisions it considers out of date, and has suggested amendments to others.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the Secretariat’s proposal, <b>except</b> that D 14.81 on great whales should be deleted and not incorporated into the proposed resolution on Periodic Review of the Appendices (CoP15 Doc. 62).</li> </ul>  |
| <b>20. National laws for implementation of the Convention</b>   | The Secretariat proposes amendments to RC 8.4 (Rev. CoP14) – National laws for implementation of the Convention – to incorporate Decisions regarding compliance-related elements of the National Legislation Programme.                                      | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support decisions to implement the project. However, the submission of national legislation for review should be guided by the priorities already established.</li> <li>• Note that the Secretariat has advised that legislation relating to live transport should be included in the project but no language is provided to accomplish this.</li> </ul> |
| <b>21. National Reports</b>                                     | CoP14 directed the formation of a WG to consider special reporting requirements. A WG has been formed but has not yet started work.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support amendments to Decisions to continue the work.</li> </ul>   |
| <b>22. Reporting on trade in artificially propagated plants</b> | CoP14 directed a review and evaluation of reporting practices relating to trade in artificially propagated plants to determine its effectiveness in detecting illegal trade and conserving wild flora. The study has not been possible due to lack of funds. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support amendments to Decisions proposed by the SC to continue the work.</li> </ul>  |

| COP15 DOCUMENT   | SUMMARY   | RECOMMENDATION   |
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| <b>23. Standard units of reporting trade in agarwood-producing taxa</b>          | CoP14 directed the drafting of standardised units of reporting of these taxa by CoP15. The Secretariat recommends that, depending on the form of trade, these are kg, litre, cubic metre and number, but that “number” is not normally an appropriate unit. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the Secretariat’s recommendations.</li> </ul>   |
| <b>24. Enforcement matters</b>   | The Secretariat’s report summarises enforcement issues, including a meeting of the CITES Enforcement Expert Group.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support Decisions to implement recommendations of the CITES Enforcement Expert Group, including development of a database of illegal trade for use by CITES officials.</li> </ul>   |
| <b>25. Revise RC 11.3 (Rev. CoP14) on compliance and enforcement</b>             | Sweden, on behalf of the EU, recommends use of specially trained dogs to detect illegal wildlife shipments.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the amendment of RC 11.3 (Rev.CoP14) with editorial suggestions from the Secretariat.</li> </ul>  |
| <b>26. Review of Significant Trade in specimens of Appendix II plant species</b> | The PC has been considering trade-related issues in several Asian species of medicinal plants. Little progress has been made and PC seeks a strengthened mandate.   | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• <b>REJECT</b> first proposed decision, since a similar Decision in CoP14 accomplished very little.</li> <li>• <b>SUPPORT</b> second and third proposed Decisions, including Secretariat recommendations, which will put the main focus on regional workshops.</li> </ul> |
| <b>27. Introduction from the sea</b>   | CoP14 required a WG to consider a definition of “transport into a state”, and to clarify “State of introduction” and the process for  | <p><b>SUPPORT</b></p>  |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION   |
|---|--|--|
|   | issuing a certificate of introduction from the sea. The WG could not agree on a definition of “transport into a state” and so it falls to the CoP to choose from the alternatives presented.   | <ul style="list-style-type: none"> <li>• Support the amendments of RC 14.6 and the revision of D 14.48, choosing the flag state, not the port state, as the state of introduction. The flag state is responsible for the activities of the ship.</li> </ul>  |
| <b>28. Ranching and trade in ranched specimens</b>                  | CoP14 directed the recasting of RC 11.16 (Rev. CoP14) to make it more clear and logical and to remove duplication. The Secretariat has proposed two decisions and amendments to RC 11.16.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the two proposed decisions and the amendments to RC 11.16 <b>provided that</b> the decision directed to the AC is amended to require more rigorous precautions for a downlisting related to ranching than for other downlistings.</li> </ul>                          |
| <b>29. Production systems for specimens of CITES-listed species</b> | CoP14 directed the investigation of the range of species and management systems which the Parties designate as purpose code R (ranching), the application of purpose code R and the development of a definition of “ranching”. The AC and PC produced recommendations. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support amendments to RC 12.3 (Rev. CoP14) and RC 11.6 (Rev. CoP14) with the edits recommended by the Secretariat.</li> </ul>   |
| <b>30. Electronic permitting</b>                                    | CoP14 directed the preparation of a CD-Rom and web-based toolkit on electronic permitting for consideration at CoP15. Sweden has proposed amendments to RC 12.3 (Rev. CoP14) to specifically recognize electronic permitting.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed Decisions and the amendments to RC 12.3 proposed by Sweden.</li> <li>• The toolkit represents a new level of co-operation to facilitate trade, ensure greater security, minimise fraud and harmonise documentation in international commerce.</li> </ul> |
| <b>31. Purpose codes on CITES permits and</b>                       | CoP14 established a WG to review purpose-of-transaction codes. The WG found that the use of codes was inconsistent and there   | <p><b>SUPPORT</b></p>  |

| COP15 DOCUMENT   | SUMMARY   | RECOMMENDATION   |
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| <b>certificates</b>  | was a need for unambiguous definitions.   | <ul style="list-style-type: none"> <li>• Support the proposed amendments of CoP14 Decisions to continue the work, with the mandate remaining the same in substance as in the original version of D 14.54.</li> </ul>   |
| <b>32. E-commerce of specimens of CITES-listed species</b>                                     | Following a workshop directed by CoP14 to develop a better understanding of issues relating to the use of the internet as a medium for trading CITES-listed species, a WG was convened to develop a toolkit with guidelines on legal internet trading of CITES species. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the decision and amendments to RC 11.3 (Rev. CoP14)</li> </ul>  |
| <b>33. Transport of live specimens</b>   | AC PC and the Secretariat have been working on new guidelines for non-air transport of live specimens, and have determined that the focus should be on non-air transport.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the retirement of two previous Decisions and adoption of a new Decision continuing the work to develop new guidelines and make recommendations regarding the existing Resolutions on this subject.</li> </ul> |
| <b>34. Review of the universal tagging system and trade in small crocodylian leather goods</b> | CoP14 directed a review of the universal tagging system established by RC 11.2, and consideration of ways to reduce the administrative burden.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the amendments to RC 11.2 and 12.3. However, the definition of “small crocodylian leather product” developed by the WG should be incorporated in RC 11.2 as amended.</li> </ul>                               |
| <b>35. Standard nomenclature</b>   | Budgets are sought for a proposed programme of work on the development of checklists for corals and papilionid butterflies, completion of checklists for Cactaceae, Cycadaceae and Orchidaceae, and continuation of work on tree ferns.                                 | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the decision <b>provided that</b> debate during the CoP shows that the proposed changes in fauna nomenclature can be practically implemented and</li> </ul>   |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION   |
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|   |  | <b>provided that</b> the nature and cost of the proposed toolkit are clarified.  |
| <b>36. Identification of CITES-listed corals in trade</b>   | There is no standard nomenclature for coral. The US has proposed Decisions which would allow the AC to continue its work in developing this.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, <b>provided that</b> amendments suggested by the Secretariat are adopted.</li> <li>• Work should continue by electronic correspondence.</li> </ul> |
| <b>37. Identification of worked specimens of black coral (<i>Antipatharia</i>) and parts thereof in trade</b> | There is no standard nomenclature for black coral. Amendments to RC 12.3 (Rev. CoP14) (Permits and certificates) are proposed to allow worked specimens which cannot be identified to species to be recorded at the genus, or in not possible, the order level “Antipatharia”. | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, <b>provided that</b> amendments suggested by the Secretariat are adopted.</li> </ul>   |
| <b>38. Identification Manual</b>  | The Secretariat report discusses the conversion of the CITES identification manual into a web-based format, which became available in October 2009.  | <b>NOTE REPORT</b>   |
| <b>39. Using the taxonomic serial number (TSN) in international wildlife trade data: a role for CITES</b>     | A TSN is a numeric code assigned to an individual taxonomic name by ITIS (the Integrated Taxonomic Information System). The proposal is to investigate the value and feasibility of incorporating TSNs in CITES data recording.  | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• It is premature to proceed with the use of TSNs.</li> <li>• The establishment of a WG may be more appropriate.</li> </ul>                                    |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION  |
|---|--|---|
| <p><b>40. Personal and household effects</b></p>  | <p>A WG was established at CoP14 to consider the definition and treatment of personal and household effects (Article VII.3 of CITES). However, it has not reached agreement.</p> | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed Decision to continue the WG provided that the reference to “hunting trophies” is deleted: <ul style="list-style-type: none"> <li>▫ The purpose of singling out special categories of goods in this context is to consider greater restrictions on the trade e.g. in relation to tourist souvenirs. This is not appropriate for hunting trophies, which are given preferential treatment on account of the conservation benefits of trophy hunting (e.g. quotas for hunting trophies when no other trade is permitted).</li> <li>▫ If hunting trophies were not treated as personal and household effects, this would potentially deter residents of EU countries from participating in trophy hunting and so threaten conservation of these species based on sustainable use, as EU legislation requires both an import and export permit for Appendix II specimens unless they are personal and household effects.</li> <li>▫ In any event, it is unnecessary and duplicative for this WG to consider a Definition of “hunting trophy” as this is already under consideration by another WG in connection with purpose codes ((Doc. 18 Appendix 11 (see above)).</li> </ul> </li> </ul> |
| <p><b>41. Applications to register operations that breed Appendix I animal species in captivity for commercial purposes</b></p> | <p>Two parties wish to register four captive breeding operations in accordance with the procedure in RC 12.10 (Rev. CoP14).</p>  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• the AC Chairman correctly concluded that the benefits to conservation outweighed unsubstantiated allegations by one Party.</li> </ul>  |

| COP15 DOCUMENT            | SUMMARY  | RECOMMENDATION  |
|---------------------------|--|---|
| <b>42. Great Apes</b>     | The Secretariat together with the GRASP (Great Ape Survival Partnership), wants to seek external funding for visits to gorilla Range States to identify ways in which law enforcement might be supported, and report to CoP16.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed Decisions.</li> </ul>   |
| <b>43. Asian Big Cats</b> | Doc 43.1 is the Secretariat's report on the lack of progress in reinvigorating tiger conservation. In Doc. 43.2, Sweden proposed amendments to RC 12.5 which would restrict domestic trade originating from captive-bred tigers.   | <p><b>PART SUPPORT, PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Do not support unless amendments are made in line with the comments and observations of the Secretariat.</li> <li>• Sustainable use is a cornerstone of modern wildlife and plant conservation and given the lack of progress with tiger conservation no solution should be adopted that denies the use of all appropriate tools.</li> </ul> |
| <b>44. Elephants</b>      | <ul style="list-style-type: none"> <li>• Due to limited staff resources, the Secretariat has been unable to carry out in full the compliance monitoring activities and assistance to Parties that were contemplated in the Action Plan for the Control of Trade in Elephant Ivory RC 13.26 (Rev.CoP14). It is therefore requesting that parties second suitably qualified officers for periods of 2-4 weeks. The Secretariat also proposes amendment of the Action Plan.</li> <li>• Doc 44.1 Annex is the TRAFFIC report on the Elephant Trade Information System (ETIS) data obtained since CoP14.</li> <li>• Doc 44.2 is the Secretariat report on the Monitoring the Illegal</li> </ul> | <p><b>NOTE REPORTS</b></p>  |

| <b>COP15 DOCUMENT</b>                              | <b>SUMMARY</b>   | <b>RECOMMENDATION</b>   |
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|  | Killing of Elephants (MIKE) data since CoP14.  |   |
| <b>45. Rhinoceroses</b>                            | <ul style="list-style-type: none"> <li>• The Secretariat will report on the limited response to Decisions adopted at CoP14 to improve control of rhino poaching and illegal trade.</li> <li>• Kenya has proposed revisions to RC 9.14 (Rev. CoP14) – Conservation of and Trade in African and Asian Rhinoceros – including measures to strengthen the role of the SC, allow optional destruction of rhino horn stockpiles, monitor enforcement measures, require reporting from consumer states and clarify the procedure and timeframe for the provision of information.</li> </ul> | <p><b>NOTE REPORT</b></p> <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Reject the proposed revisions to RC 9.14. As the Secretariat notes, this proposal is not likely to result in improvements to the disappointing responses to the CoP14 decisions.</li> <li>• Consideration should be given to referring non-compliance in this context to the WG on Special Reporting Requirements.</li> </ul> |
| <b>46. Tibetan antelope</b>                        | Secretariat report on the conservation issues arising from the illegal trade in products made from the wool of the species in India and Jammu and Kashmir.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed decisions for a technical mission and follow-up.</li> </ul>   |
| <b>47. Saiga Antelope</b>                          | With the exception of action from Russia and China, few of the actions envisaged in D 14.91-14.97 have been completed. The Secretariat therefore requests that CoP15 re-authorise the outstanding elements of these decisions.   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, with an amendment requiring outstanding information to be provided.</li> </ul>  |
| <b>48. Snake trade and conservation management</b> | Trade in East Asian snakes is largely unregulated, and there is concern that this may be impacting adversely on some species. China and the US have proposed Decisions calling for a technical workshop to be convened to consider the conservation  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support adoption of the decisions, with amendments proposed by the Secretariat</li> </ul>  |

| COP15 DOCUMENT                              | SUMMARY  | RECOMMENDATION  |
|---|--|---|
|   | implications of the trade.   |   |
| <b>49. Tortoises and freshwater turtles</b> | The Secretariat reports on progress in implementing RC 11.9 (Rev. CoP13), and advises Parties to progress the harmonisation of standard tariff classifications envisaged in Decision 14.126. Secretariat recommends review of the reporting requirements in RC 11.9 (Rev.CoP14). | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the Decision recommended by the Secretariat to continue this work through the AC.</li> </ul>   |
| <b>50. Hawksbill turtle</b>                 | The Secretariat reports on a regional workshop for Hawksbill turtle conservation.  | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support the Decision allowing the Secretariat to continue work, <b>but</b> provide direction during debate that a sustainable use approach is the best turtle conservation method, as opposed to strict protection.</li> </ul>   |
| <b>51. Humphead wrasse</b>                  | Indonesia reports on measures taken and problems encountered with IUU (illegal unregulated and unreported) fishing in the conservation of Humphead wrasse since its Appendix II listing at CoP13.  | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• support the proposed Decision(s) if any, <b>provided that</b> they result from a WG during CoP15 which addresses the issue of IUU trade.</li> </ul>   |
| <b>52. Atlantic bluefin tuna</b>            | Monaco proposes a Resolution to establish a mechanism to facilitate recovery of the East Atlantic and Mediterranean Sea tuna stocks.   | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support <b>provided that</b> the species is listed on Appendix I and that action is restricted to directing the negotiation of a memorandum of understanding between the Secretariats of CITES and ICCAT (The International Convention on the Conservation of Atlantic Tuna) for the exchange of information on IUU fishing.</li> </ul> |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION  |
|---|--|---|
| <p><b>53. Conservation and management of sharks and stingrays</b></p> | <p>The AC reports on its work on sharks and rays. A series of proposed Decisions and amendments to RC 12.6 are made for direction to continue detailed work on IUU fishing (see Agenda item 51) and many other issues of shark and ray conservation.</p>   | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support <b>provided that</b> the resolution is limited to the commissioning of a report on the cause and effect relationship between shark fishing and the livelihoods of local people.</li> </ul>          |
| <p><b>54. Conservation of and trade in Coralliidae species</b></p>    | <p>Companion document to US listing proposal (Prop. 21) to list red and pink corals comprising the genera <i>Corallium</i> spp. and <i>Paracorallium</i> spp. on Appendix II. Proposed revisions to RC 12.3 (Rev. CoP14) on permits and certificates, and RC 13.7 (Rev. CoP14) on personal and household effects, to implement appropriate trade controls.</p> | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• If red and pink coral are listed, support, with an amendment to limit the quantity of worked specimens permitted as personal and household effects to 1 kg, unless adequate justification is provided to the CoP.</li> </ul> |
| <p><b>55. Trade in epiphytic cacti (<i>Cactaceae</i> spp.)</b></p>    | <p>It is proposed that the PC assess trade in certain epiphytic cacti with a view to Range States submitting proposals to CoP16 to delete those from Appendix II which are not affected by trade. The Secretariat recommends combining this with work on <i>Euphorbia</i> spp.</p>   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, with an amendments to combine it with the review of <i>Euphorbia</i> spp. (Doc. 56) and to follow the procedure for the Periodic Review of the Appendices.</li> </ul>   |
| <p><b>56. <i>Euphorbia</i> spp.</b></p>                               | <p>CoP14 required the PC to review the trade data and conservation status of succulent <i>Euphorbia</i> spp. listed in Appendix II, to identify which species meet the criteria for listing and which are not affected by trade.</p>   | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, with amendments to combine this with the review of epiphytic cacti (Doc. 55) and that the review should follow the procedure for the Periodic Review of the Appendices.</li> </ul>                                  |

| COP15 DOCUMENT  | SUMMARY  | RECOMMENDATION  |
|---|--|---|
| <p><b>57. <i>Cedrela odorata</i>,<br/><i>Dalbergia retusa</i>,<br/><i>Dalbergia granadillo</i><br/>and <i>Dalbergia<br/>stevensonii</i></b></p> | <p>CoP14 directed the PC to develop a methodology and formats for collection of information from Range States of these tropical timber species (Spanish Cedar, varieties of Cocobolo), to analyse the information obtained and to make recommendations to CoP15. Revisions are suggested to Decision 14.146 to continue and strengthen this initiative.</p>  | <p><b>SUPPORT, subject to external funding</b></p> <ul style="list-style-type: none"> <li>• Support only if external funding is secured. It is not appropriate that considerable Range State or other resources are devoted to this issue, given that CoP14 did not consider it necessary to list any of these species in Appendix II, and one species (<i>D. Granadillo</i>) is not included in any Appendix.</li> </ul> |
| <p><b>58. Bigleaf mahogany</b></p>  | <p>The Bigleaf Mahogany WG of the PC seeks authorisation to continue its work. It has found that most Range States for this species have not demonstrated that they have sufficient information for a non-detriment finding and the PC is undertaking a Significant Trade Review.</p>  | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• As noted by the Secretariat, the PC already has sufficient authority under existing documents to establish working groups without referring the matter to a CoP.</li> </ul>   |
| <p><b>59. <i>Taxus cuspidata</i></b></p>  | <p>The PC has considered the treatment of hybrids, cultivars and other horticultural entities under CITES and has determined that trade in hybrids and cultivars of listed species should be subject to CITES. Whilst adequate guidance exists on hybrids the PC has recommended amendments to RC 11.11 (Rev. CoP14) on Regulation of Trade in Plants to incorporate a definition of “cultivar”.</p> | <p><b>SUPPORT</b></p>   |
| <p><b>60. Agarwood-producing taxa</b></p>   | <p>Agarwood is regarded as an aromatic plant and not technically a timber species. D 14.142 directed the PC to draft a definition of “non-timber forest products” to assist legal trade in agarwood species. The PC recommends that RC 10.13 (Rev. CoP14) on Implementation of the Convention on Timber Species is amended so as to include non-timber products in the definition of</p>             | <p><b>SUPPORT</b></p> <ul style="list-style-type: none"> <li>• Support, with amendments suggested by the Secretariat</li> </ul>   |

| COP15 DOCUMENT   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
|  | <p>“artificially propagated specimens”.</p> <p>A decision is also requested to allow the WG to finalise outstanding Agarwood issues.</p>  |   |
| <p><b>61. Report of the Central Africa Bushmeat Working Group</b></p>          | <p>RC 14.73 and 14.74 directed the Secretariat to obtain a report from the Central Africa Bushmeat Working Group on issues which should be raised at CoP15. No report has been received from the WG, but a report has been filed from the Convention on Biodiversity (CBD) Liaison Group.</p> | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• Reject the Secretariat proposal for no further work on this subject and instruct the Secretariat to monitor the work of the CBD Liaison Group on bushmeat, and report to CoP16.</li> </ul>  |
| <p><b>62. Periodic Review of the Appendices</b></p>                            | <p>The Periodic Review, which is to ensure that the Appendices correctly reflect the conservation needs of species in accordance with the CITES Strategic Vision, has encountered implementation difficulties.</p>  | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support the proposed decision <b>provided</b> it is amended to specify the frequency of undertaking reviews.</li> <li>• Support the proposed resolution <b>only if</b> it includes a rescission of D 14.81, which forbids any review of a great whale species as long as the whaling moratorium is in effect. The Periodic Review should be science-based.</li> </ul> |
| <p><b>63. Criteria for the inclusion of species in Appendices I and II</b></p> | <p>Decisions are proposed to establish an intercessional process to resolve conflicting interpretations of Annex 2(a) (B) of RC 9.24 (Rev. CoP14) on Criteria for Amendment of Appendices I and II. The Secretariat and the FAO support different interpretations.</p>                        | <p><b>SUPPORT</b></p>   |

| COP15 DOCUMENT   | SUMMARY   | RECOMMENDATION  |
|--|---|---|
| <b>64. Cactaceae and Orchidaceae: review of annotations</b>  | A decision is requested to encourage Parties to use the exemption for herbarium specimens from registered institutions, to review taxa for possible exemptions of finished products from Appendix II listings, and to direct the PC to prepare a brochure on the herbarium exemption. | <p><b>PART SUPPORT/PART REJECT</b></p> <ul style="list-style-type: none"> <li>• Support <b>if amended</b> as suggested by the Secretariat to direct the review of taxa to the SC and to eliminate the production of a brochure.</li> </ul>  |
| <b>65. Orchids: annotations for species included in Appendix II</b>  | PC requests maintaining D 14.33 and D 14.134, which required the preparation of identification materials and recommendations of further exemptions.   | <p><b>REJECT</b></p> <ul style="list-style-type: none"> <li>• No conservation problem has been identified from the annotation for Appendix II listed Orchidaceae.</li> <li>• Web-based identification materials are available.</li> </ul>   |
| <b>66. Annotations for tree species included in Appendices II and III</b>  | PC requests renewal of D 14.148, including a direction to the Secretariat to carry out a trade study of the types of specimens of timber species that enter trade.  | <p><b>REJECT unless supported by exporting Parties</b></p> <ul style="list-style-type: none"> <li>• After substantial work by the PC and Secretariat, there was no indication of a need to amend the existing annotations, probably reflecting a feeling amongst Range States that the annotations are adequate.</li> </ul> |
| <b>67. Inconsistent implementation of Appendix III timber listings annotated to include only the national populations of the listing countries</b> | A number of inconsistencies have been observed concerning implementation of the Appendix III timber listings that are annotated to include only national populations. Amendments are proposed to RC 9.25 (Rev. CoP14) on Inclusion of Species in Appendix III to resolve this.        | <p><b>SUPPORT subject to discussion</b></p> <ul style="list-style-type: none"> <li>• Support, subject to discussion from the parties involved in this trade. There are likely to be dissenting views on the use of certificates of origin to verify the provenance of material.</li> </ul>                                  |

| <b>COP15 DOCUMENT</b>  | <b>SUMMARY</b> | <b>RECOMMENDATION</b>                       |
|--|----------------|---|
| <b>68. Proposals to amend Appendices I and II</b>                |                | <b>REFER TO OTHER SECTION OF THIS GUIDE</b> |
| <b>69. Time and venue of the next regular meeting of the CoP</b> |                | <b>NO COMMENT</b>                           |
| <b>70. Closing remarks</b>                                       |                | <b>NO COMMENT</b>                           |

***Safari Club International and the Safari Club International Foundation promote the sustainable use of natural resources and advocate the use of science-based information in wildlife policy, management and conservation. Practising the principles of sustainable use, hunter-conservationists increase the value of wildlife resources and create economic incentives for humans to conserve these resources. We recognise that the well-being of both humans and wildlife depends on the sustainable use of natural resources and the conservation of biodiversity worldwide.***



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